

Message Text

UNCLASSIFIED

PAGE 01 CANBER 05393 100751Z
ACTION EB-08

INFO OCT-01 EA-12 ISO-00 L-03 H-02 /026 W
-----070737 101342Z /47-40
R 100640Z JUL 78
FM AMEMBASSY CANBERRA
TO SECSTATE WASHDC 3748

UNCLAS CANBERRA 5393

E.O. 11652: N/A
TAGS: EFIN, EINV, ETRD, AS
SUBJ: GOA REQUEST FOR INFORMATION ON PROPOSED U.S. LEGISLATION

1. EMBASSY HAS RECEIVED FOLLOWING LETTER FROM R.J. SCHOER,
ASSISTANT SECRETARY, COMPANIES AND SECURITIES BRANCH,
DEPARTMENT OF BUSINESS AND CONSUMER AFFAIRS:

"I HAVE A COPY OF THE HEARINGS BEFORE THE HOUSE INTERSTATE
AND FOREIGN COMMERCE SUBCOMMITTEES ON OVERSIGHT AND INVEST-
IGATIONS AND CONSUMER PROTECTION AND FINANCE - SERIAL 95 -
80 DATED JUNE AND JULY 1977. THE HEARINGS FOCUSED ON THOSE
PORTIONS OF THE 1975 AMENDMENTS WHICH CALLED FOR THE CREATION
OF A NATIONAL MARKET SYSTEM AND A NATIONAL CLEARANCE AND
SETTLEMENT SYSTEM.

"WE HAVE BEEN UNABLE TO FIND OUT FROM OUR USUAL SOURCES WHAT
HAPPENED FOLLOWING THESE HEARINGS. THE INFORMATION WOULD BE
OF PARTICULAR INTEREST TO US AT THIS TIME AS WE ARE PRESENTLY
CONSIDERING NEW NATIONAL SECURITIES INDUSTRY LEGISLATION.

"I WOULD BE GREATFUL FOR ANY ASSISTANCE THAT YOU MIGHT BE
ABLE TO GIVE US. IN PARTICULAR, WE WOULD BE INTERESTED IN
ANY FOLLOW-UP REPORTS PUBLISHED SINCE JULY 1977. END QTE

2. ACTION REQUESTED: AS SCHOER IS A MOST VALUABLE CONTACT,
EMBASSY WOULD LIKE TO BE IN A POSITION TO HELP HIM AS MUCH AS
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POSSIBLE. DEPARTMENT IS THEREFORE REQUESTED OBTAIN INFORMATION
SOUGHT.
ALSTON

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NNN

Message Attributes

Automatic Decaptioning: X
Capture Date: 01 jan 1994
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: INFORMATION EXCHANGE, LAW, LEGISLATIVE BILLS, FOREIGN INVESTMENTS
Control Number: n/a
Copy: SINGLE
Draft Date: 10 jul 1978
Decaption Date: 01 jan 1960
Decaption Note:
Disposition Action: n/a
Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment:
Disposition Date: 01 jan 1960
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1978CANBER05393
Document Source: CORE
Document Unique ID: 00
Drafter: n/a
Enclosure: n/a
Executive Order: N/A
Errors: N/A
Expiration:
Film Number: D780282-0034
Format: TEL
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Handling Restrictions: n/a
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ISecure: 1
Legacy Key: link1978/newtext/t19780781/aaaacpup.tel
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Litigation Codes:
Litigation History:
Locator: TEXT ON-LINE, ON MICROFILM
Message ID: e28ce378-c288-dd11-92da-001cc4696bcc
Office: ACTION EB
Original Classification: UNCLASSIFIED
Original Handling Restrictions: n/a
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a
Page Count: 2
Previous Channel Indicators: n/a
Previous Classification: n/a
Previous Handling Restrictions: n/a
Reference: n/a
Retention: 0
Review Action: RELEASED, APPROVED
Review Content Flags:
Review Date: 29 mar 2005
Review Event:
Review Exemptions: n/a
Review Media Identifier:
Review Release Date: N/A
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
SAS ID: 2073575
Secure: OPEN
Status: NATIVE
Subject: GOA REQUEST FOR INFORMATION ON PROPOSED U.S. LEGISLATION
TAGS: EFIN, EINV, ETRD, AS
To: STATE
Type: TE
vdkgvwkey: odb://SAS/SAS.dbo.SAS_Docs/e28ce378-c288-dd11-92da-001cc4696bcc
Review Markings:
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